

Verification

Lecture 21

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Propositional Logic (PL)

PL Syntax

Atom truth symbols \top ("true") and \perp ("false")
propositional variables $P, Q, R, P_1, Q_1, R_1, \dots$

Literal atom α or its negation $\neg\alpha$

Formula literal or application of a
logical connective to formulae F, F_1, F_2

$\neg F$	"not"	(negation)
$F_1 \wedge F_2$	"and"	(conjunction)
$F_1 \vee F_2$	"or"	(disjunction)
$F_1 \rightarrow F_2$	"implies"	(implication)
$F_1 \leftrightarrow F_2$	"if and only if"	(iff)

PL Semantics

Formula F + Interpretation I = Truth value
(true, false)

Interpretation

$$I: \{P \mapsto \text{true}, Q \mapsto \text{false}, \dots\}$$

Evaluation of F under I :

F	$\neg F$
0	1
1	0

where 0 corresponds to value false
1 true

F_1	F_2	$F_1 \wedge F_2$	$F_1 \vee F_2$	$F_1 \rightarrow F_2$	$F_1 \leftrightarrow F_2$
0	0	0	0	1	1
0	1	0	1	1	0
1	0	0	1	0	0
1	1	1	1	1	1

Satisfiability and Validity

F is **satisfiable** iff there exists an interpretation I such that $I \models F$.

F is **valid** iff for all interpretations I , $I \models F$.

F is valid iff $\neg F$ is unsatisfiable

Satisfiability and validity are decidable (truth tables, BDDs, DPLL, ...)

Example $F: P \wedge Q \rightarrow P \vee \neg Q$

PQ	$P \wedge Q$	$\neg Q$	$P \vee \neg Q$	F
0 0	0	1	1	1
0 1	0	0	0	1
1 0	0	1	1	1
1 1	1	0	1	1

Thus F is valid.

First-Order Logic (FOL)

Also called **Predicate Logic** or **Predicate Calculus**

FOL Syntax

variables	x, y, z, \dots
constants	a, b, c, \dots
functions	f, g, h, \dots
terms	variables, constants or n -ary function applied to n terms as arguments $a, x, f(a), g(x, b), f(g(x, g(b)))$
predicates	p, q, r, \dots
atom	\top, \perp , or an n -ary predicate applied to n terms
literal	atom or its negation $p(f(x), g(x, f(x))), \quad \neg p(f(x), g(x, f(x)))$

Note: 0-ary functions: constant
0-ary predicates: P, Q, R, \dots

Quantifiers

existential quantifier $\exists x.F[x]$
“there exists an x such that $F[x]$ ”

universal quantifier $\forall x.F[x]$
“for all x , $F[x]$ ”

FOL formula literal, application of logical connectives
(\neg , \vee , \wedge , \rightarrow , \leftrightarrow) to formulae,
or application of a quantifier to a formula

Example: FOL formula

$$\forall x. p(f(x), x) \rightarrow (\exists y. \underbrace{p(f(g(x, y)), g(x, y))}_G) \wedge q(x, f(x))$$

The diagram shows the formula $\forall x. p(f(x), x) \rightarrow (\exists y. p(f(g(x, y)), g(x, y))) \wedge q(x, f(x))$. A long horizontal bracket underneath the entire formula is labeled with the letter 'F'. A shorter horizontal bracket underneath the subformula $p(f(g(x, y)), g(x, y))$ is labeled with the letter 'G'.

The scope of $\forall x$ is F .

The scope of $\exists y$ is G .

The formula reads:

“for all x ,

if $p(f(x), x)$

then there exists a y such that

$p(f(g(x, y)), g(x, y))$ and $q(x, f(x))$ ”

FOL Semantics

An interpretation $I : (D_I, \alpha_I)$ consists of:

- ▶ Domain D_I
non-empty set of values or objects
cardinality $|D_I|$ finite (eg, 52 cards),
countably infinite (eg, integers), or
uncountably infinite (eg, reals)
- ▶ Assignment α_I
 - ▶ each variable x assigned value $x_I \in D_I$
 - ▶ each n -ary function f assigned

$$f_I : D_I^n \rightarrow D_I$$

In particular, each constant a (0-ary function) assigned value $a_I \in D_I$

- ▶ each n -ary predicate p assigned

$$p_I : D_I^n \rightarrow \{\text{true}, \text{false}\}$$

In particular, each propositional variable P (0-ary predicate) assigned truth value (true, false)

Example:

$$F : p(f(x,y),z) \rightarrow p(y,g(z,x))$$

Interpretation $I : (D_I, \alpha_I)$

$$D_I = \mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\} \quad \text{integers}$$

$$\alpha_I : \{f \mapsto +, g \mapsto -, p \mapsto >\}$$

Therefore, we can write

$$F_I : x + y > z \rightarrow y > z - x$$

(This is the way we'll write it in the future!)

Also

$$\alpha_I : \{x \mapsto 13, y \mapsto 42, z \mapsto 1\}$$

Thus

$$F_I : 13 + 42 > 1 \rightarrow 42 > 1 - 13$$

Compute the truth value of F under I

1. $I \models x + y > z$ since $13 + 42 > 1$
2. $I \models y > z - x$ since $42 > 1 - 13$
3. $I \models F$ by 1, 2, and \rightarrow

F is **true** under I

Semantics: Quantifiers

x variable.

x -variant of interpretation I is an interpretation $J : (D_J, \alpha_J)$ such that

- ▶ $D_I = D_J$
- ▶ $\alpha_I[y] = \alpha_J[y]$ for all symbols y , except possibly x

That is, I and J agree on everything except possibly the value of x

Denote $J : I \triangleleft \{x \mapsto v\}$ the x -variant of I in which $\alpha_J[x] = v$ for some $v \in D_I$. Then

- ▶ $I \models \forall x. F$ iff for all $v \in D_I$, $I \triangleleft \{x \mapsto v\} \models F$
- ▶ $I \models \exists x. F$ iff there exists $v \in D_I$ s.t. $I \triangleleft \{x \mapsto v\} \models F$

Example

For \mathbb{Q} , the set of rational numbers, consider

$$F : \forall x. \exists y. 2 \times y = x$$

Compute the value of F_I (F under I):

Let

$$J_1 : I \triangleleft \{x \mapsto v\}$$

x -variant of I

$$J_2 : J_1 \triangleleft \{y \mapsto \frac{v}{2}\}$$

y -variant of J_1

for $v \in \mathbb{Q}$.

Then

1. $J_2 \models 2 \times y = x$ since $2 \times \frac{v}{2} = v$
2. $J_1 \models \exists y. 2 \times y = x$
3. $I \models \forall x. \exists y. 2 \times y = x$ since $v \in \mathbb{Q}$ is arbitrary

Satisfiability and Validity

F is **satisfiable** iff there exists I s.t. $I \models F$

F is **valid** iff for all I , $I \models F$

F is valid iff $\neg F$ is unsatisfiable

- ▶ **FOL is undecidable** (Turing & Church)
There does not exist an algorithm for deciding if a FOL formula F is valid, i.e. always halt and says “yes” if F is valid or say “no” if F is invalid.
- ▶ **FOL is semi-decidable**
There is a procedure that always halts and says “yes” if F is valid, but may not halt if F is invalid.

Semantic Argument Method

Proof rules for propositional logic

$$\frac{I \models \neg F}{I \not\models F}$$

$$\frac{I \not\models \neg F}{I \models F}$$

$$\frac{I \models F \wedge G}{I \models F \quad I \models G} \leftarrow \text{and}$$

$$\frac{I \not\models F \wedge G}{I \not\models F \quad I \not\models G} \leftarrow \text{or}$$

$$\frac{I \models F \vee G}{I \models F \quad I \models G}$$

$$\frac{I \not\models F \vee G}{I \not\models F \quad I \not\models G}$$

$$\frac{I \models F \rightarrow G}{I \not\models F \quad I \models G}$$

$$\frac{I \not\models F \rightarrow G}{I \models F \quad I \not\models G}$$

$$\frac{I \models F \leftrightarrow G}{I \models F \wedge G \quad I \not\models F \vee G}$$

$$\frac{I \not\models F \leftrightarrow G}{I \models F \wedge \neg G \quad I \models \neg F \wedge G}$$

$$\frac{I \models F \quad I \not\models F}{I \models \perp}$$

Semantic Argument Method

Proof rules for quantifiers

$$\frac{I \models \forall x. F}{I \triangleleft \{x \mapsto v\} \models F}$$

$$\frac{I \not\models \exists x. F}{I \triangleleft \{x \mapsto v\} \not\models F}$$

$$\frac{I \models \exists x. F}{I \triangleleft \{x \mapsto v\} \models F} \text{ for a fresh } v \in D_I$$

$$\frac{I \not\models \forall x. F}{I \triangleleft \{x \mapsto v\} \not\models F} \text{ for a fresh } v \in D_I$$

$$\frac{J : I \triangleleft \{\dots \mapsto \dots\} \models p(s_1, \dots, s_n) \quad K : I \triangleleft \{\dots \mapsto \dots\} \not\models p(t_1, \dots, t_n) \quad \text{for all } i \in \{1, \dots, n\}, \alpha_J[s_i] = \alpha_K[t_i]}{I \models \perp}$$

First-Order Theories

First-order theory T defined by

- ▶ Signature Σ - set of constant, function, and predicate symbols
- ▶ Set of axioms A_T - set of closed (no free variables) Σ -formulae

Σ -formula constructed of constants, functions, and predicate symbols from Σ , and variables, logical connectives, and quantifiers

The symbols of Σ are just symbols without prior meaning --- the axioms of T provide their meaning

A Σ -formula F is valid in theory T (T -valid, also $T \models F$), if every interpretation I that satisfies the axioms of T ,

i.e. $I \models A$ for every $A \in A_T$ (T -interpretation)

also satisfies F ,

i.e. $I \models F$

A Σ -formula F is **satisfiable in T (T -satisfiable)**, if there is a T -interpretation (i.e. satisfies all the axioms of T) that satisfies F

Two formulae F_1 and F_2 are **equivalent in T (T -equivalent)**, if

$$T \models F_1 \leftrightarrow F_2,$$

i.e. if for every T -interpretation $I, I \models F_1$ iff $I \models F_2$

A **fragment of theory T** is a syntactically-restricted subset of formulae of the theory.

Example: quantifier-free segment of theory T is the set of quantifier-free formulae in T .

A theory T is **decidable** if $T \models F$ (T -validity) is decidable for every Σ -formula F ,

i.e., there is an algorithm that always terminate with “yes”, if F is T -valid, and “no”, if F is T -invalid.

A fragment of T is **decidable** if $T \models F$ is decidable for every Σ -formula F in the fragment.

Theory of Equality T_E

Signature

$$\Sigma = : \{=, a, b, c, \dots, f, g, h, \dots, p, q, r, \dots\}$$

consists of

- ▶ $=$, a binary predicate, **interpreted** by axioms.
- ▶ all constant, function, and predicate symbols.

Axioms of T_E

1. $\forall x. x = x$ (reflexivity)
2. $\forall x, y. x = y \rightarrow y = x$ (symmetry)
3. $\forall x, y, z. x = y \wedge y = z \rightarrow x = z$ (transitivity)
4. for each positive integer n and n -ary function symbol f ,
$$\forall x_1, \dots, x_n, y_1, \dots, y_n. \bigwedge_i x_i = y_i \rightarrow f(x_1, \dots, x_n) = f(y_1, \dots, y_n)$$
(congruence)
5. for each positive integer n and n -ary predicate symbol p ,
$$\forall x_1, \dots, x_n, y_1, \dots, y_n. \bigwedge_i x_i = y_i \rightarrow (p(x_1, \dots, x_n) \leftrightarrow p(y_1, \dots, y_n))$$
(equivalence)

Congruence and Equivalence are **axiom schemata**. For example,

Congruence for binary function f_2 for $n = 2$:

$$\forall x_1, x_2, y_1, y_2. x_1 = y_1 \wedge x_2 = y_2 \rightarrow f_2(x_1, x_2) = f_2(y_1, y_2)$$

T_E is undecidable.

The quantifier-free fragment of T_E is decidable.

Very efficient algorithm.

Natural Numbers and Integers

Natural numbers $\mathbb{N} = \{0, 1, 2, \dots\}$

Integers $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, \dots\}$

Three variations:

- ▶ Peano arithmetic T_{PA} : natural numbers with addition and multiplication
- ▶ Presburger arithmetic $T_{\mathbb{N}}$: natural numbers with addition
- ▶ Theory of integers $T_{\mathbb{Z}}$: integers with $+$, $-$, $>$

1. Peano Arithmetic T_{PA} (first-order arithmetic)

$$\Sigma_{PA} : \{0, 1, +, \cdot, =\}$$

The axioms:

1. $\forall x. \neg(x + 1 = 0)$ (zero)
2. $\forall x, y. x + 1 = y + 1 \rightarrow x = y$ (successor)
3. $F[0] \wedge (\forall x. F[x] \rightarrow F[x + 1]) \rightarrow \forall x. F[x]$ (induction)
4. $\forall x. x + 0 = x$ (plus zero)
5. $\forall x, y. x + (y + 1) = (x + y) + 1$ (plus successor)
6. $\forall x. x \cdot 0 = 0$ (times zero)
7. $\forall x, y. x \cdot (y + 1) = x \cdot y + x$ (times successor)

Line 3 is an axiom schema.

Example: $3x + 5 = 2y$ can be written using Σ_{PA} as

$$x + x + x + 1 + 1 + 1 + 1 + 1 = y + y$$

We have $>$ and \geq since

$$3x + 5 > 2y \quad \text{write as} \quad \exists z. z \neq 0 \wedge 3x + 5 = 2y + z$$

$$3x + 5 \geq 2y \quad \text{write as} \quad \exists z. 3x + 5 = 2y + z$$

Example:

- ▶ Pythagorean Theorem is T_{PA} -valid

$$\exists x, y, z. x \neq 0 \wedge y \neq 0 \wedge z \neq 0 \wedge xx + yy = zz$$

- ▶ Fermat's Last Theorem is T_{PA} -invalid (Andrew Wiles, 1994)

$$\exists n. n > 2 \rightarrow \exists x, y, z. x \neq 0 \wedge y \neq 0 \wedge z \neq 0 \wedge x^n + y^n = z^n$$

Remark (Gödel's first incompleteness theorem)

Peano arithmetic T_{PA} does not capture true arithmetic:

There exist closed Σ_{PA} -formulae representing valid propositions of number theory that are not T_{PA} -valid.

The reason: T_{PA} actually admits **nonstandard interpretations**

Satisfiability and validity in T_{PA} is **undecidable**.

Restricted theory -- no multiplication

2. Presburger Arithmetic $T_{\mathbb{N}}$

$$\Sigma_{\mathbb{N}} : \{0, 1, +, =\}$$

no multiplication!

Axioms $T_{\mathbb{N}}$:

1. $\forall x. \neg(x + 1 = 0)$ (zero)
2. $\forall x, y. x + 1 = y + 1 \rightarrow x = y$ (successor)
3. $F[0] \wedge (\forall x. F[x] \rightarrow F[x + 1]) \rightarrow \forall x. F[x]$ (induction)
4. $\forall x. x + 0 = x$ (plus zero)
5. $\forall x, y. x + (y + 1) = (x + y) + 1$ (plus successor)

3 is an axiom schema.

$T_{\mathbb{N}}$ -satisfiability and $T_{\mathbb{N}}$ -validity are decidable
(Presburger, 1929)

3. Theory of Integers $T_{\mathbb{Z}}$

$\Sigma_{\mathbb{Z}} : \{\dots, -2, -1, 0, 1, 2, \dots, -3\cdot, -2\cdot, 2\cdot, 3\cdot, \dots, +, -, =, >\}$

where

- ▶ $\dots, -2, -1, 0, 1, 2, \dots$ are constants
- ▶ $\dots, -3\cdot, -2\cdot, 2\cdot, 3\cdot, \dots$ are unary functions
(intended $2 \cdot x$ is $2x$)
- ▶ $+, -, =, >$

$T_{\mathbb{Z}}$ and $T_{\mathbb{N}}$ have the same expressiveness

- Every $T_{\mathbb{Z}}$ -formula can be reduced to $\Sigma_{\mathbb{N}}$ -formula.

Example: Consider the $T_{\mathbb{Z}}$ -formula

$$F_0 : \forall w, x. \exists y, z. x + 2y - z - 13 > -3w + 5$$

Introduce two variables, v_p and v_n (range over the nonnegative integers) for each variable v (range over the integers) of F_0

$$F_1: \quad \forall w_p, w_n, x_p, x_n. \exists y_p, y_n, z_p, z_n. \\ (x_p - x_n) + 2(y_p - y_n) - (z_p - z_n) - 13 > -3(w_p - w_n) + 5$$

Eliminate – by moving to the other side of >

$$F_2: \quad \forall w_p, w_n, x_p, x_n. \exists y_p, y_n, z_p, z_n. \\ x_p + 2y_p + z_n + 3w_p > x_n + 2y_n + z_p + 13 + 3w_n + 5$$

Eliminate >

$$F_3: \quad \forall w_p, w_n, x_p, x_n. \exists y_p, y_n, z_p, z_n. \exists u. \\ \neg(u = 0) \wedge \\ x_p + y_p + y_p + z_n + w_p + w_p + w_p \\ = x_n + y_n + y_n + z_p + w_n + w_n + w_n + u \\ +1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 \\ +1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 + 1 .$$

which is a $T_{\mathbb{N}}$ -formula equivalent to F_0 .

- Every $T_{\mathbb{N}}$ -formula can be reduced to $\Sigma_{\mathbb{Z}}$ -formula.

Example: To decide the $T_{\mathbb{N}}$ -validity of the $T_{\mathbb{N}}$ -formula

$$\forall x. \exists y. x = y + 1$$

decide the $T_{\mathbb{Z}}$ -validity of the $T_{\mathbb{Z}}$ -formula

$$\forall x. x \geq 0 \rightarrow \exists y. y \geq 0 \wedge x = y + 1,$$

where $t_1 \geq t_2$ expands to $t_1 = t_2 \vee t_1 > t_2$

$T_{\mathbb{Z}}$ -satisfiability and $T_{\mathbb{N}}$ -validity is decidable

Rationals and Reals

$$\Sigma = \{0, 1, +, -, \cdot, =, \geq\}$$

- ▶ Theory of Reals $T_{\mathbb{R}}$ (with multiplication)

$$x^2 = 2 \quad \Rightarrow \quad x = \pm\sqrt{2}$$

- ▶ Theory of Rationals $T_{\mathbb{Q}}$ (no multiplication)

$$\underbrace{2x}_{x+x} = 7 \quad \Rightarrow \quad x = \frac{2}{7}$$

Note: Strict inequality OK

$$\forall x, y. \exists z. x + y > z$$

rewrite as

$$\forall x, y. \exists z. \neg(x + y = z) \wedge x + y \geq z$$

1. Theory of Reals $T_{\mathbb{R}}$

$$\Sigma_{\mathbb{R}} : \{0, 1, +, -, \cdot, =, \geq\}$$

with multiplication.

Example:

$$\forall a, b, c. b^2 - 4ac \geq 0 \leftrightarrow \exists x. ax^2 + bx + c = 0$$

is $T_{\mathbb{R}}$ -valid.

$T_{\mathbb{R}}$ is decidable (Tarski, 1930) High time complexity
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2. Theory of Rationals $T_{\mathbb{Q}}$

$$\Sigma_{\mathbb{Q}} : \{0, 1, +, -, =, \geq\}$$

without multiplication.

Rational coefficients are simple to express in $T_{\mathbb{Q}}$

Example: Rewrite

$$\frac{1}{2}x + \frac{2}{3}y \geq 4$$

as the $\Sigma_{\mathbb{Q}}$ -formula

$$3x + 4y \geq 24$$

$T_{\mathbb{Q}}$ is decidable

Quantifier-free fragment of $T_{\mathbb{Q}}$ is efficiently decidable

Recursive Data Structures (RDS)

1. RDS theory of LISP-like lists, T_{cons}

$$\Sigma_{\text{cons}} : \{\text{cons}, \text{car}, \text{cdr}, \text{atom}, =\}$$

where

$\text{cons}(a, b)$ -- list constructed by concatenating a and b

$\text{car}(x)$ -- left projector of x : $\text{car}(\text{cons}(a, b)) = a$

$\text{cdr}(x)$ -- right projector of x : $\text{cdr}(\text{cons}(a, b)) = b$

$\text{atom}(x)$ -- true iff x is a single-element list

Axioms:

1. The axioms of **reflexivity**, **symmetry**, and **transitivity** of $=$
2. **Congruence** axioms

$$\forall x_1, x_2, y_1, y_2. x_1 = x_2 \wedge y_1 = y_2 \rightarrow \text{cons}(x_1, y_1) = \text{cons}(x_2, y_2)$$

$$\forall x, y. x = y \rightarrow \text{car}(x) = \text{car}(y)$$

$$\forall x, y. x = y \rightarrow \text{cdr}(x) = \text{cdr}(y)$$

3. Congruence axiom for atom

$$\forall x, y. x = y \rightarrow (\text{atom}(x) \leftrightarrow \text{atom}(y))$$

4. $\forall x, y. \text{car}(\text{cons}(x, y)) = x$ (left projection)
5. $\forall x, y. \text{cdr}(\text{cons}(x, y)) = y$ (right projection)
6. $\forall x. \neg \text{atom}(x) \rightarrow \text{cons}(\text{car}(x), \text{cdr}(x)) = x$ (construction)
7. $\forall x, y. \neg \text{atom}(\text{cons}(x, y))$ (atom)

T_{cons} is undecidable

Quantifier-free fragment of T_{cons} is efficiently decidable

2. Lists + equality

$$T_{\text{cons}}^{\text{=}} = T_E \cup T_{\text{cons}}$$

Signature: $\Sigma_E \cup \Sigma_{\text{cons}}$

(this includes uninterpreted constants, functions, and predicates)

Axioms: union of the axioms of T_E and T_{cons}

$T_{\text{cons}}^{\text{=}}$ is undecidable

Quantifier-free fragment of $T_{\text{cons}}^{\text{=}}$ is efficiently decidable

Theory of Arrays

1. Theory of Arrays T_A

Signature

$$\Sigma_A : \{ \cdot[\cdot], \cdot\langle \cdot \triangleleft \cdot \rangle, = \}$$

where

- ▶ $a[i]$ binary function --
read array a at index i ("read(a,i)")
- ▶ $a\langle i \triangleleft v \rangle$ ternary function --
write value v to index i of array a ("write(a,i,e)")

Axioms

1. the axioms of (reflexivity), (symmetry), and (transitivity) of T_E
2. $\forall a, i, j. i = j \rightarrow a[i] = a[j]$ (array congruence)
3. $\forall a, v, i, j. i = j \rightarrow a\langle i \triangleleft v \rangle[j] = v$ (read-over-write 1)
4. $\forall a, v, i, j. i \neq j \rightarrow a\langle i \triangleleft v \rangle[j] = a[j]$ (read-over-write 2)

Note: = is only defined for array elements

$$F : a[i] = e \rightarrow a\langle i \triangleleft e \rangle = a$$

not T_A -valid, but

$$F' : a[i] = e \rightarrow \forall j. a\langle i \triangleleft e \rangle[j] = a[j] ,$$

is T_A -valid.

T_A is undecidable

Quantifier-free fragment of T_A is decidable

2. Theory of Arrays T_A^- (with extensionality)

Signature and axioms of T_A^- are the same as T_A , with one additional axiom

$$\forall a, b. (\forall i. a[i] = b[i]) \leftrightarrow a = b \quad (\text{extensionality})$$

Example:

$$F : a[j] = e \rightarrow a\langle i \triangleleft e \rangle = a$$

is T_A^- -valid.

T_A^- is undecidable Quantifier-free fragment of T_A^- is decidable
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